



Practitioner's Docket No. 27698/00-601

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Berlin et al.  
Application No.: 09/824,547  
Confirmation No.: 9433  
Filed: 04/02/2001  
For: Heteroarotinoids containing urea or thiourea linker  
Group No.: 1626  
Examiner: Unknown

**Commissioner for Patents  
Washington, D.C. 20231**

**INFORMATION DISCLOSURE STATEMENT**

**List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. Timing of Statement (with any required certifications)
2. Preliminary Statements
3. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
4. Comments on References
5. Identification of Person(s) Making This Information Disclosure Statement

**Section 1. Timing of Statement (with any required certifications)**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

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**CERTIFICATION UNDER 37 C.F.R. section 1.8(a)**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope with sufficient postage as first class mail addressed to the Commissioner for Patents, Washington, D.C. 20231, on September 5, 2001.

LUZ LOPEZ  
(type or print name of person certifying)

*Luz C. Lopez*  
Signature

**Section 2. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

**Section 3. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

Attached.

**Section 4. Comments on References**

None.

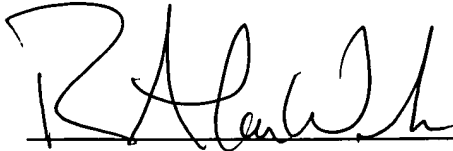
**Section 5. Identification of Person Making This Information Disclosure Statement**

The person making this certification is:

- a. the practitioner who signs below on the basis of the information in the practitioner's file.

Respectfully submitted,

9/5/01  
\_\_\_\_\_  
DATE

  
\_\_\_\_\_  
SIGNATURE OF PRACTITIONER

Reg. No.: 36,050

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(type or print name of practitioner)

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